

Form SNBFI/D1

Name of the Applicant Company:

Declaration to be submitted by directors in the Applicant Company¹

1. Personal Details

1.1 Full name:

1.2 National Identity Card number:

1.3 Passport number:

1.4 Local or Expatriate:

1.5 Date of birth:

1.6 Permanent address:

1.7 Present address:

2. Appointment to the Applicant Company

2.1 Date of appointment as a Director:

2.2 Designation:

2.3 Annual remuneration (with details):

2.4 Annual value of benefits derived by the director and/or his/her family from company assets. (Example by use of company land, building, vehicles, etc).

2.4.1 Annual value of expenses borne by the company on account of maintenance of assets referred to in 2.4 or for reimbursement of any expenses (credit card bills, utility bills etc.)

2.4.2 Purchased value and book value of assets referred to in 2.4 and the location of immovable assets.

3. Personal Details of Relatives

3.1 Full name of spouse:

3.1.1 National Identity Card No:

3.1.2 Passport Number:

3.2 Details of dependent children:

¹ If the space provided is not adequate please annex a separate sheet of paper giving full details, with a cross reference to the relevant item.

	Full name	NIC	Passport number
3.3.1			
3.3.2			
3.3.3			

4. Background and Experience

4.1 Name of companies/societies/body corporates in which the director presently is a director or is employed as an officer performing executive functions²:

Name of the Institution	Designation

4.2 Names of companies/societies/body corporates in which the director has been a director or has been employed as an officer performing executive functions.

Name of the institution	Period of office	Designation

5. Shareholdings in Licensed Finance Companies and their Related Companies

Share ownerships in licensed finance companies, their holding companies, subsidiaries and associates if any, presently held:

Name of the institution	No. of shares		Percentage holding	
	Direct	Indirect	Direct	Indirect

² See definition at the end

6. Business Transactions³

Any business transaction the director presently has with the applicant company, its holding company subsidiaries or associates if any.

Name of the institution	Date of transaction	Amount as at (Rs.000')		Classification (performing/ non- performing)	Type and value of collateral (Rs.000')	% of the finance company's Capital Funds
		Limit	Out- standing			
Loans and such other accommodation obtained						

Investments (Promissory Notes/ Commercial Paper etc) made

Name of the Institution	Date of transaction	Amount as at (Rs.000')

Deposits

Name of the Institution	Date of transaction	Amount as at (Rs.000')

7. Appointment, Shareholdings and Business Transactions of Relatives⁴

7.1 Any relative/s presently employed as a director or an officer performing executive functions in any finance company.

Name of the finance company	Full name of the relative	Position held

7.2 Direct or indirect share ownership in the applicant company if any, presently held by any relative of the director/an officer performing executive functions.

Full name of the relative	No. of Shares		Percentage holding	
	Direct	Indirect	Direct	Indirect

³ "Business transaction" shall mean any accommodations, investments and deposits.

⁴ See definition at the end.

7.3 Any business transaction, any relative of the director currently has with the applicant company.

Full name of the relative	Nature of business transaction	Date of transaction	Limit as at (Rs.000')	Out-standing as at (Rs.000')	Type and value of collateral (Rs. 000')	% of Applicant Company's paid up capital

8. Any other explanation/information in regard to the information furnished above and other information considered relevant for assessing the suitability of a director in a licensed finance company.

DECLARATION:

I confirm that the above information is to the best of my knowledge and belief true and complete. I undertake to keep the applicant company and the Director, Department of Supervision of Non-Bank Financial Institutions of the Central Bank of Sri Lanka duly informed, as soon as possible, all subsequent changes to the information provided above.

I state that I am not prevented by any Statute from being appointed to the above post.

Date:

**Signature of Director/Chairman
in the Applicant Company**

TO BE COMPLETED BY THE CHAIRMAN OF THE BOARD OF DIRECTORS WITH REFERENCE TO DIRECTORS

Any other explanation/information considered relevant in assessing the suitability of the director to be a director in a licensed finance company.

Date:

**Signature of Chairman of the Board of Directors and the
Official Stamp**

Definitions

1. Officers performing executive functions in a finance company are as follows:
 - I. Chief executive Officer/general manager
 - II. Additional General Manager
 - III. Senior Deputy general manager
 - IV. Deputy General manager
 - V. Assistant General Manager
 - VI. Chief Operating Officer
 - VII. Chief Risk Officer
 - VIII. Chief Accountant
 - IX. Chief Financial Officer
 - X. Chief Internal Auditor
 - XI. Compliance Officer
 - XII. Head of Treasury
 - XIII. Head of Legal
 - XIV. Head of Information Technology
 - XV. Company Secretary
 - XVI. Officers serving as consultants or advisors to the board of directors of the finance companies
 - XVII. Officers involving authorizing credit, decisions on assets and marketing of products of the respective finance company

2. Relative shall mean the spouse or dependent child of such individual.